

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/625,518	07/25/2000	Craig David Weissman	20308-713

21971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

## FORMALITIES LETTER



\*OC000000005482455\*

Date Mailed: 10/18/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$642.
  - \$486 for 27 total claims over 20.
  - \$156 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

01/29/2001 ETULU1 00000034 192386 09625518

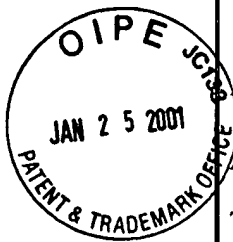
- The balance due by applicant is \$ 1462.

01 FC:101	710.00 CH
02 FC:105	130.00 CH
03 FC:103	486.00 CH
04 FC:102	160.00 CH

*A copy of this notice **MUST** be returned with the reply.*

*D/King*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR 3  
#  
036

Applicant(s): Weissman, Craig D.; Walsh, Gregory V.; Wegbreit, Eliot L.;  
Jain, Ankur S.  
Assignee: E.piphany, Inc.  
Title: Method And Apparatus For Creating A Well-Formed Database  
System Using A Computer  
Serial No.: 09/625,518 ✓ Filing Date: 07/25/00  
Examiner: Unassigned Group Art Unit: Unassigned  
Docket No.: M-9882 US

San Jose, California  
January 18, 2001

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
APPLICATION - FILING DATE GRANTED**

Dear Sir:

In response to the Notice To File Missing Parts Of Non-Provisional Application  
mailed by the United States Patent and Trademark Office on October 18, 2000, the following  
documents are enclosed to complete the filing of the above-identified patent application:

1. Copy of Notice to File Missing Parts of Application - Filing Date Granted;
2. Three declarations signed by the inventors in compliance with 37 CFR 1.63;
3. Petition for Extension of Time (one month); and
4. Copy of the Filing Receipt having the above errors and the changes noted in  
red.

The United States Patent and Trademark Office is hereby authorized to charge the  
following fees to Deposit Account No. 19-2386: ✓

- |   |            |
|---|------------|
| 1. Surcharge for filing declaration on a date later than the<br>filing date of the application. | \$130.00 ✓ |
| 2. Statutory basic filing fee.  | \$690.00 ✓ |

LAW OFFICES OF  
SKJERVEN MORRILL  
MacPHERSON LLP

25 METRO DRIVE  
SUITE 700  
SAN JOSE, CA 95110  
(408) 453-9200  
FAX (408) 453-7979

3.	Total additional claim fee(s)	\$642.00
4.	Petition For Extension	\$110.00
5.	TOTAL FEES:	\$1572.00

Applicants hereby petition the Commissioner For Patents to correct the Official Filing Receipt for the above-identified patent application as follows:

1. The attorney docket number is incorrect. Please correct attorney docket number to read --M-9882 US--. See enclosed declaration.

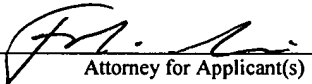
2. The correspondence address is incorrect. Please correct the correspondence address to read --24251, Fabio E. Marino, Skjerven Morrill MacPherson LLP, 25 Metro Drive, Suite 700, San Jose, CA 95110--.

Attached is a copy of the Filing Receipt having the above errors and the changes noted thereon, as well as a copy of the Declaration. Therefore, it is respectfully requested that a corrected Filing Receipt be issued.

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.


It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of July 25, 2000. If this action does not lead to issuance of a corrected Official Filing Receipt as requested or if there are any questions, please telephone the undersigned at (408) 453-9200. This form is being submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on January 18, 2001.

  
Attorney for Applicant(s)

2/18/01  
Date of Signature

Respectfully submitted,

  
Fabio E. Marino  
Attorney for Applicant(s)  
Reg. No. 43,339

LAW OFFICES OF  
SKJERVEN MORRILL  
MacPHERSON LLP  
25 METRO DRIVE  
SUITE 700  
SAN JOSE, CA 95110  
(408) 453-9200  
FAX (408) 453-7979



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/625,518	07/25/2000	2171	0	<del>20308-713</del> M-9882US	36	47	5

## FILING RECEIPT



\*OC00000005482454\*

~~21971-24251~~  
~~WILSON SONSINI-GOODRICH & ROSATI~~ Fabio E. Marino  
~~650 PAGE MILL ROAD~~ SKjerven Morrill MacPherson  
~~PALO ALTO, CA 94304-1050~~ LLP  
25 Metro Drive  
Suite 700  
San Jose, CA 95110

Date Mailed: 10/18/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Craig David Weissman, Belmont, CA ;  
Gregory Vincent Walsh, Cupertino, CA ;  
Eliot Leonard Wegbreit, Palo Alto, CA ;  
Ankur S. Jain, Sunnyvale, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/145,700 07/26/1999

## Foreign Applications

If Required, Foreign Filing License Granted 10/17/2000

## Title

Method and apparatus for creating a well-formed database system using a computer

## Preliminary Class

707

Data entry by : KING, DORIS

Team : OIPE

Date: 10/18/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

